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TC 1700

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 00-1123-A)

In re Application of:

Cunningham, et al.

Serial No.: 09/929,957

Filed: August 15, 2001

For: A Label-Free High-Throughput Optical
Technique for Detecting Biomolecular
Interactions



Examiner: TBA

Art Unit: 1743

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TRANSMITTAL LETTER

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

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In regard to the above identified application,

1. We are transmitting herewith the attached:
 - a) Third Supplemental Information Disclosure Statement;
 - b) PTO Form 1449 and seven (7) cited references;
 - c) Return postcard
2. With respect to fees:
No fee is due at this time.
3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on March 19, 2002.

Respectfully submitted,

Lisa M.W. Hillman

Registration No. 43,673

Date: March 19, 2002

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Examiner: To be assigned

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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any

other representation and, in particular, no representation is indented as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

CITED REFERENCES

U.S. Patent Documents

<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Filing Date If appropriate</u>
5,442,169	08/15/95	Kunz	250	04/22/92
5,991,480	11/23/99	Kunz, et al.	385	08/07/96
6,320,991 B1	11/20/01	Challener, et al.	385	10/16/98
RE37,473 E	12/18/01	Challener	250	02/03/00
6,346,376 B1	02/12/02	Sigrist, et al.	435	05/11/99
US 2002/0018610	02/14/02	Challener, et al.	385	09/28/01

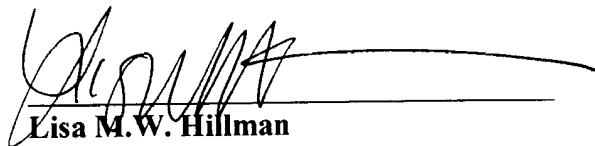
Other Documents

- 1) Challener, et al., "*A multiplayer grating-based evanescent wave sensing technique*", *Elsevier Science B.V.*, pp. 42-46 (2000)

Respectfully submitted,

Date: March 19, 2002

by:


Lisa M.W. Hillman
Reg. No. 43,673

FORM PTO-1449
(Rev. 2-32)

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

00-1123-A

Serial No.

09/929,957

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use several sheets if necessary)



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Applicant:

Cunningham, et al.

Filing Date:

August 15, 2001

Group:

1743

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	5,442,169	08/15/95	Kunz	250	227.21	04/22/92
	2.	5,991,480	11/23/99	Kunz, et al.	385	37	08/07/96
	3.	6,320,991 B1	11/20/01	Challener, et al.	385	12	10/16/98
	4.	RE37,473 E	12/18/01	Challener	250	225	02/03/00
	5.	6,346,376 B1	02/12/02	Sigrist, et al.	435	5	05/11/99
	6.	US 2002/0018610 A1	02/14/02	Challener, et al.	385	12	09/28/01

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

		7.	Challener, et al., "A multiplayer grating-based evanescent wave sensing technique", Elsevier Science B.V., pp. 42-46 (2000)
EXAMINER			DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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